

# **Administration for Community Living**

Administration on Aging

National Resource Center for LGBT Aging HHS-2013-ACL-AOA-LG-0046 Application Due Date: 04/29/2013

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# Department of Health & Human Services Administration for Community Living

**Program Office:** Administration on Aging

Funding Opportunity Title: National Resource Center for LGBT Aging

**Announcement Type:** Initial

Funding Opportunity Number: HHS-2013-ACL-AOA-LG-0046

Primary CFDA Number: 93.048

Due Date For Letter of Intent: 04/03/2013

Due Date for Applications: 04/29/2013

### **Executive Summary**

The Administration for Community Living (ACL)/U.S. Administration on Aging (AoA) is providing this competitive grant opportunity to eligible entities for the purpose of establishing and providing ongoing technical assistance and support and build upon past advancements and innovations in serving this older LGBT individuals through new, efficient and sustainable approaches for ensuring high quality and culturally competent service delivery. Under this Funding Opportunity Announcement (FOA), ACL/AoA plans to fund one (1) cooperative agreement at a federal funding level of up to \$295,540 per year for two (2) years, pending the availability of Federal funds.

Applicants to this FOA must demonstrate the capacity to work at the national level to provide training, technical assistance and support to aging network entities (State Units on Aging, Area Agencies on Aging, service providers), LGBT organizations, and older LGBT consumers. Further, applicants must demonstrate the support and active involvement of a range of stakeholders sufficiently broad so as to ensure that all requirements outlined in this FOA are addressed.

Prospective applicants should be sure to read, understand and fully respond to all requirements of this FOA.

### I. Funding Opportunity Description

### **Statutory Authority**

The statutory authority for grants under this Program Announcement is contained in Title IV of the Older Americans Act (OAA) (42U.S.C. 3032), as amended by the Older Americans Act Amendments of 2006, P.L. 109-365. (Catalog of Federal Domestic Assistance 93.048, Title IV Discretionary Projects).

#### **Description**

# **Background**

The Older Americans Act directs the AoA and the Aging Network to pay particular attention to the provision of services to older individuals in greatest economic and social need and who maybe further isolated because of their racial/ethnic background and limited English speaking abilities. To ensure AoA and the Aging Network respond effectively to the needs of specific populations, AoA has turned to national aging organizations to support efforts to more effectively target and serve minority aging populations, including African Americans, Hispanic, Asian, Pacific Islanders, and Native Americans.

In 2010, AoA formally recognized the unique needs of older lesbian, gay, bisexual and transgender (LGBT) individuals by including them in the portfolio of populations in need of more targeted approaches to effective identification and service delivery. That year, AoA funded the first <a href="National Resource Center for LGBT Aging">National Resource Center for LGBT Aging</a> (NRC) to develop and implement targeted technical assistance focused on the needs of LGBT older adults. Through targeted training approaches, curricula and materials development, a dedicated and visible web site, and close collaboration with a range of project partners, the grantee reached new audiences not previously sensitized to the needs of LGBT elders. This initial effort focused on the following three objectives:

- Educate mainstream aging services organizations about the existence and special needs of LGBT elders:
- Sensitize LGBT organizations to the existence and special needs of older adults; and
- Educate LGBT individuals themselves about the importance of planning ahead for future long-term care needs.

Additionally, 2010 saw an increased focus and commitment within the U.S. Department of Health and Human Services (DHHS) to improving the overall health and well-being LGBT individuals of all ages (see: <a href="http://www.hhs.gov/secretary/about/lgbthealth.html">http://www.hhs.gov/secretary/about/lgbthealth.html</a>). Since then, DHHS has advanced the health and well-being of LGBT Americans in significant ways through research, grants, LGBT child and family initiatives, cultural competency, anti-violence efforts, and implementation of key provisions of the Affordable Care Act (ACA).

Through the creation of the NRC, AoA fostered a national dialogue that brought new focus and positive action on behalf of older LGBT individuals across the nation. The Resource Center has helped professionals across the county provide quality and competent service delivery. Now, with Older Americans Act (OAA) reauthorization on the horizon, continued government-wide focus on effectively reaching and serving the LGBT community, the Aging Network must be well-positioned to respond unique needs of older LGBT individuals, the issues they face and the best methods for addressing them. A new NRC for LGBT Aging will help ensure a visible and lasting response to meeting needs of this population.

This funding opportunity seeks to build on and expand the NRC to continue to recognize and address the unique needs of older LGBT individuals. Through a new Technical Assistance Resource Center for LGBT elders, AoA will build upon past advancements and innovations in serving this vulnerable population through new, efficient and sustainable approaches for ensuring high quality and culturally competent service delivery.

#### **Problem Statement/Statement of Need**

Like many older adults, LGBT seniors face a variety of issues as they strive to maintain their independence. These include health concerns, financial matters, and a variety of social issues. Like other underserved populations, LGBT individuals also face a number of unique challenges as they grow older. Many of these challenges result when older LGBT individuals themselves begin to seek supports and services to assist them as they strive to age in place in their homes and communities.

LGBT seniors are often invisible in our society. While several studies about the needs of older LGBT individuals have been conducted in recent years, much more information is needed before there is a more comprehensive understanding of what makes their situations so unique. Experts estimate that there are between 1.75 and 4 million LGBT individuals aged 60 and older but those numbers are simply based on the widespread assumption that between 3 and 8 percent of the overall population is lesbian, gay, bisexual or transgender. Data that does exist seems to suggest that many among the current cohort of older gays and lesbians are closeted even to family and health care providers. There is also some evidence that LGBT seniors are more likely to live alone than their heterosexual counterparts, further contributing to a sense of isolation.

Most older adults receive long-term services and support from informal caregivers, including spouses, children and/or close friends. Individuals who have never had children, including many LGBT individuals, may be less likely to have informal caregivers available to assist them. They may instead be more dependent on long term supports and services provided by community organizations as well as from friends and acquaintances. Planning ahead for future long-term care needs may be of particular importance, therefore, for older LGBT individuals.

The special needs of older LGBT individuals may be unfamiliar to many aging service providers. In other cases, homophobia and anti-gay bias may prevent some LGBT seniors from receiving the health and social services they need. Discrimination against LGBT individuals by nursing homes, senior centers and other health and social services providers has been reported across the country. In recognition of discrimination against this population, the U.S. Department of Housing and Urban Development recently announced plans to conduct a nationwide survey on housing discrimination against lesbian, gay, bisexual and transgender individuals.

Ageism is experienced by many LGBT elders in the gay community. Being old is often viewed as less attractive, less sexy, of less value and therefore of little to no importance. For seniors already dealing with the impact of anti-gay bias, the impact of ageism from within the gay community can be devastating. While few communities have organizations specifically designed to serve LGBT individuals, where LGBT organizations do exist, there are limited programs and services designed to serve our LGBT elders.

With these challenges and opportunities as a framework, AoA seeks to further the capacities of Aging Network and LGBT organizations to be prepared to address the needs of LGBT older individuals while, at the same time, educating the LGBT community about the importance of effective planning for future long-term support needs.

#### **Recommended Activities and Use of Funds**

In FY 2013, ACL/AoA will fund a new Cooperative Agreement to further develop and extend the NRC for LGBT Aging. The work of the new NRC is expected to be focused but multi-faceted and must identify and address gaps in training and technical assistance to the following three audiences:

- Aging Network Entities (State Units on Aging, Area Agencies on Aging, local service providers) about the existence and special needs of LGBT elders;
- LGBT-specific organizations about aging and the special needs of older adults and how to develop programs unique to their needs; and
- LGBT individuals about the importance of planning ahead for future long-term care needs in a population likely to rely on formal, paid sources of care rather than assistance provided by family members.

To that end, applicants should demonstrate, through in-house expertise and collaboration with key stakeholders, the capacity to design and deliver training and technical assistance that incorporates the following approaches and concepts:

- Use of efficient and effective strategies that maximize limited resources while ensuring measureable impact of all training and TA activities;
- Embedding of all efforts within LGBT and Aging Networks to ensure ownership and long-term sustainability;
- Employment of technology as a means to reach greater numbers of trainees and consumers more efficiently;
- Building the volunteer potential of the LGBT aging community as a sustainable resource for training and other activities
- Expansion to, and inclusion of, key issue areas as they relate to LGBT aging, including (but not limited to) housing, mental health, dementia, family caregiving, legal, elder abuse, aging with disabilities, palliative care, and institutional/residential LTC.
- Long-term sustainability to ensure a solid and lasting foundation for addressing the needs of a growing LGBT aging population and how such efforts will be sustained once federal funding ends.

When preparing proposals, applicants should clearly describe their expertise in each of the areas outlined, including approaches for ensuring each is addressed through proposed interventions. Applicants should describe the strategies and approaches to be used, including TA modalities, resources, and partnerships and collaborations with key stakeholders from across the public and private sectors. Applicants are encouraged to consider multiple strategies and approaches in each area.

The applicant must possess direct in-house experience and expertise to carry out the desired activities. Applications for this cooperative agreement will be reviewed and scored based, in part, on how well applicants demonstrate key subject-matter expertise and experience. Reliance on sub-grants or subcontracts to carry out specific activities is permissible if the applicant identifies areas where additional expertise is necessary to complete project goals and objectives. The applicant should fully describe their rationale and criteria for selecting sub-grantees/sub-contractors as well as their approach for ensuring successful completion of

all tasks.

To most effectively accomplish the objectives outlined in this FOA, AoA anticipates that the successful application will demonstrate a collaborative effort of organizations with diverse areas of expertise. Collaborations might include organizations with experience in working specifically with LGBT individuals and organizations, organizations with experience in working with older adults, organizations with connections to mainstream aging services providers, organizations with expertise in evaluation and performance measurement as well as organizations with other expertise important to this initiative. Applications should describe the partner organizations and the role of each partner in the planning, development and implementation of the Resource Center. Each partner organization should include a letter of support with the application.

In addition, an advisory committee can be an effective method of ensuring that diverse ideas are considered in the planning and implementation of any new project. Applicants for this opportunity should explain how they will use an advisory committee to assist their efforts and include a listing of some of the individuals or organizations that will be invited to participate.

Narratives should contain a description of how the proposed plan will maximize the number of communities and LGBT seniors impacted. This description should include a separate section addressing the specific activities that will be undertaken to effectively target and reach the three intended audiences. Applicants should describe the resources that will be developed, including proposed methods for ensuring training and technical assistance incorporate the above stated concepts and approaches. Applicants should describe the existing resources they plan to use as well as any efforts they will undertake to discover additional resources that may be available to them once the funding is received.

Evaluation is an important component of any new project and allows the project administrators to understand project strengths as well as project weaknesses so as to ensure continuous improvement. Applicants for this funding opportunity should include a comprehensive plan that describes their proposal to evaluate and report project performance and effectiveness. This plan should include measurable outcomes that will result from this project and that demonstrate the benefit to LGBT seniors as well as to provider organizations including LGBT organizations.

Applicants should budget for travel for at least one face-to-face meeting with AoA during the first year of the grant. That meeting may occur in Washington, DC or at a national conference depending on opportunities that arise.

#### II. Award Information

Funding Instrument Type: Cooperative Agreement

Estimated Total Funding: \$295,540

Expected Number of Awards: 1

Award Ceiling: \$295,540 Per Budget Period

Award Floor: \$0 Per Budget Period

Average Projected Award \$295,540 Per Budget Period Amount:

# **Length of Project Periods:**

24-month project with two 12-month budget periods

#### **Additional Information on Awards:**

Awards made under this announcement are subject to the availability of Federal funds.

The amount of Federal funds available for this new funding opportunity is anticipated to be approximately \$295,540 in FY 2013. The AoA plans to fund one Technical Assistance Resource Center grant (cooperative agreement) at a federal share of up to approximately \$295,540 per year for a project period of two (2) years, pending the availability of federal funds. Only the first year of funding will be available in FY 2013, pending availability of federal funds.

This funding opportunity will be administered as a Cooperative Agreement. The Administration on Aging will assist the grantee in understanding the strategic goals and objectives, policy perspectives, and priorities of the Assistant Secretary for Aging and the Department of Health and Human Services, by sharing such information via email, conference calls, briefings, memos, and other consultations. The AoA project officer will provide technical assistance and guidance on issues related to grant management and implementation, including the execution of the cooperative agreement. The AoA project officer will also share information with the grantee about other federally sponsored projects and activities relevant to activities funded under this announcement.

This is a new cooperative agreement. As such, the successful applicant is to expect AoA involvement in Resource Center activities as appropriate or requested, by reviewing products for compliance with federal grant rules and participating in planning and training activities, which will be determined by the needs and priorities of the AoA and the grantee. The terms and conditions for this cooperative agreement are as follows and will be incorporated by reference in the Notice of Award (NOA).

# **Description of ACL's Anticipated Substantial Involvement Under the Cooperative Agreement**

The AoA will carry out the following activities for the cooperative agreement noted above:

- AoA Project Officer will perform the day-to-day Federal responsibilities of managing a grant initiative and will work with the grantee to ensure that the minimum requirements for the grant are met.
- AoA will assist the grantee project leadership in understanding the policy concerns and/or priorities of AoA by conducting periodic briefings and by carrying out ongoing consultations.
- AoA and the grantee will work cooperatively to clarify the programmatic and budgetary issues to be addressed by the project. Based on these negotiations, the grantee will revise the project work plan detailing expectations for major activities and products during the 24 month grant.

- Provide technical advice to the grantee on the provision of technical support and associated tasks related to the fulfillment of the goals and objectives of this grant.
- Review, provide technical advice on, and approve grantee work products.
- Provide consultation to the grantee in identifying emerging issues as they relate to the goals and objectives of this grant program.
- AoA will work with the grantee on the development and implementation of evaluation and quality assurance systems to ensure that performance is measured and continuous improvement occurs.
- Attend and participate in major project events as appropriate.

The grantee will execute the responsibilities of the cooperative agreement as listed below:

- Collaborate with the AoA in the modification and execution of the work plan.
- Evaluate the impact of overall project activities and ensure quality assurance systems are in place.
- Share information with AoA, the Aging Network, LGBT organizations including community centers and other entities as appropriate.
- Fulfill all of the requirement of the grant initiative as detailed in this program announcement including:
  - Targeted and customized training and technical assistance to Aging Network entities:
  - Targeted and customized training and technical assistance to LGBT organizations about the existence and special needs of older adults.
  - Targeted and customized training to educate LGBT individuals about the importance of planning ahead for future long-term care needs.

Once a cooperative agreement is in place, requests to modify or amend it or the work plan may be made by AoA or the awardee at any time. Modifications and/or amendments of the Cooperative Agreement or work plan shall be effective upon the mutual agreement of both parties, except where AoA is authorized under the Terms and Conditions of award, 45 CFR Part 74 or 92, or other applicable regulation or statute to make unilateral amendments.

Please see *Section IV.5 Funding Restrictions* for limitations on the use of Federal funds awarded under this announcement.

#### III. Eligibility Information

### III.1. Eligible Applicants

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Individuals, foreign entities, and sole proprietorship organizations are not eligible to compete for, or receive, awards under this announcement.

Faith-based and community organizations that meet eligibility requirements are eligible to receive awards under this funding opportunity announcement.

# III.2. Cost Sharing or Matching

Cost Sharing / Matching Requirement: Yes

Grantees must provide at least 25 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACL (federal) share and the non-federal share. The non-federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. For example, in order to meet the match requirements, a project requesting \$295,540.00 in ACL (federal) funds must provide a non-federal share of the approved total project cost of at least \$98,513.00, which is 25 percent of total approved project cost of \$394,053.00.

**Note:** Grantees will be held accountable for projected commitments of non-federal resources in their application budgets and budget justifications, even when the projected non-federal commitment exceeds the required amount of matching or cost share. A grantee's failure to provide the required matching amount will result in the disallowance of federal funds. However, lack of supporting documentation at the time of application submission will not exclude the application from competitive review.

If applicable, remember, there are two types of match: 1) non-Federal cash and 2) non-Federal in-kind. In general, costs borne by the applicant and cash contributions of any and all third parties involved in the project, including sub-grantees, contractors and consultants, are considered matching funds. Volunteered time and use of facilities to hold meetings or conduct project activities may be considered in-kind (third party) donations. Examples of non-Federal cash match includes budgetary funds provided from the applicant agency's budget for costs associated with the project. ACL encourages you to not exceed the minimum match requirement. Applications with a match greater than the minimum required will not receive additional consideration under the review. Match is not one of the responsiveness criteria as noted in Section III, 3 Application Screening Criteria.

There are two types of match: 1) non-Federal cash and 2) non-Federal in-kind. In general, costs borne by the applicant and cash contributions of any and all third parties involved in the project, including sub-grantees, contractors and consultants, are considered matching funds. Volunteered time and use of facilities to hold meetings or conduct project activities may be considered in-kind (third party) donations. Examples of non-Federal cash match includes budgetary funds provided from the applicant agency's budget for costs associated with the project. **Applicants are encouraged not exceed the minimum match requirement.** Please note applications with a match greater than the minimum required will **not** receive additional consideration under the review.

Matching requirements (including in-kind contributions) of less than \$200,000 (up to \$199,999) are waived under grants made to the governments of American Samoa, Guam, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands (other than those consolidated under other provisions of 48 U.S.C. 1469) pursuant to 48 U.S.C. 1469a(d). This waiver applies whether the matching required under the grant equals or exceeds \$200,000.

Refer to Section IV.2 for information on pre-application submissions.

#### III.3. Other

# **DUNS Number and System for Award Management (SAM) Requirement**

All applicants must have a DUNS number (www.dnb.com) and be registered with the System for Award Management (SAM, www.sam.gov) and maintain an active SAM registration until the application process is complete, and should a grant be made, throughout the life of the award. Finalize a new, or renew an existing, registration at least two weeks before the application deadline. This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application or receive an award. Maintain documentation (with dates) of your efforts to register or renew at least two weeks before the deadline. See the SAM Quick Guide for Grantees at: <a href="https://www.sam.gov/sam/transcript/">https://www.sam.gov/sam/transcript/</a> SAM Quick Guide Grants Registrations-v1.6.pdf.

HHS requires all entities that plan to apply for, and ultimately receive, Federal grant funds from any HHS Agency, or receive subawards directly from recipients of those grant funds to:

- Be registered in the SAM prior to submitting an application or plan;
- Maintain an active SAM registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV: and
- Provide its active DUNS number in each application or plan it submits to the OPDIV.

The agency is prohibited from making an award until an applicant has complied with these requirements. At the time an award is ready to be made, if the intended recipient has not complied with these requirements, the agency:

- May determine that the applicant is not qualified to receive an award; and
- May use that determination as a basis for making an award to another applicant.

# **Application Disqualification Factors**

All applications will be screened to assure a level playing field for all applicants. Applications that fail to meet the screening criteria described below will **not** be reviewed and will receive **no** further consideration.

Applications that fail to satisfy the due date and time deadline requirements stated in *Section IV.3.Submission Dates and Times* will be deemed non-responsive and will not be considered for funding under this announcement.

See *Section IV.3. Submission Dates and Times* for disqualification information specific to electronically-submitted applications:

- Electronically-submitted applications that do not receive a date/time-stamp email indicating application submission on or before 11:59 p.m., Eastern Time, on the due date, will be disqualified and will not be considered for competition.
- Electronically-submitted applications that fail the checks and validations at <a href="www.Grants.gov">www.Grants.gov</a> because the Authorized Organization Representative (AOR) does not have a current registration at the System for Award Management (SAM) at the time of application submission will be disqualified and will not be considered for competition.
- The Project Narrative section of the Application must be **double-spaced**, on 8 ½" x 11" plain white paper with **1" margins** on both sides, and a **font size of not less than** 11
- The Project Narrative must not exceed 20 pages. NOTE: The Project Work Plan, Letters of Commitment, and Vitae of Key Project Personnel are not counted as part of the Project Narrative for purposes of the 20-page limit.

Unsuccessful submissions will require authenticated verification from <a href="http://www.grants.gov">http://www.grants.gov</a> indicating system problems existed at the time of your submission. For example, you will be required to provide an <a href="http://www.grants.gov">http://www.grants.gov</a> submission error notification and/or tracking number in order to substantiate missing the application deadline.

# IV. Application and Submission Information

# **Address to Request Application Package**

Application materials can be obtained from http://www.grants.gov

Please note, ACL is requiring applications for all announcements to be submitted electronically through <a href="http://www.grants.gov">http://www.grants.gov</a>. The Grants.gov (<a href="http://www.grants.gov">http://www.grants.gov</a>) registration process can take several days. If your organization is not currently registered with <a href="http://www.grants.gov">http://www.grants.gov</a>, please begin this process immediately. For assistance with <a href="http://www.grants.gov">http://www.grants.gov</a>, please contact them at <a href="support@grants.gov">support@grants.gov</a> or 1-800-518-4726 between 7 a.m. and 9 p.m. Eastern Time. At <a href="http://www.grants.gov">http://www.grants.gov</a>, you will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website (<a href="http://www.grants.gov">http://www.grants.gov</a>).

Applications submitted via http://www.grants.gov:

- You may access the electronic application for this program on <a href="http://www.grants.gov">http://www.grants.gov</a>. You must search the downloadable application page by the Funding Opportunity Number or CFDA number.
- At the <a href="http://www.grants.gov">http://www.grants.gov</a> website, you will find information about submitting an application electronically through the site, including the hours of operation. ACL strongly recommends that you do not wait until the application due date to begin the application process through <a href="http://www.grants.gov">http://www.grants.gov</a> because of the time involved to complete the registration process.

- All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number and register in the System for Award Management (SAM). You should allow a minimum of **five days** to complete the SAM registration.
- **Note**: Failure to submit the correct suffix can lead to delays in identifying your organization and access to funding in the Payment Management System.
- Effective October 1, 2010, HHS requires all entities that plan to apply for and ultimately receive Federal grant funds from any HHS Operating/Staff Division (OPDIV/STAFFDIV) or receive subawards directly from the recipients of those grant funds to:
- 1. Be registered in the SAM prior to submitting an application or plan;
- 2. Maintain an active SAM registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- 3. Provide its DUNS number in each application or plan it submits to the OPDIV.

An award cannot be made until the applicant has complied with these requirements. At the time an award is ready to be made, if the intended recipient has not complied with these requirements, the OPDIV/STAFFDIV:

- May determine that the applicant is not qualified to receive an award; and
- May use that determination as a basis for making an award to another applicant.

Additionally, all first-tier subaward recipients must have a DUNS number at the time the subaward is made.

- Since October 1, 2003, The Office of Management and Budget has required applicants to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number when applying for Federal grants or cooperative agreements. It is entered on the SF 424. It is a unique, nine-digit identification number, which provides unique identifiers of single business entities. The DUNS number is *free and easy* to obtain.
- Organizations can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711 or by using this link to access a guide:
  - http://www.whitehouse.gov/sites/default/files/omb/grants/duns num guide.pdf
- You must submit all documents electronically, including all information included on the SF424 and all necessary assurances and certifications.
- Prior to application submission, Microsoft Vista and Office 2007 users should review the <a href="http://www.grants.gov">http://www.grants.gov</a> compatibility information and submission instructions provided at <a href="http://www.grants.gov">http://www.grants.gov</a> (click on "Vista and Microsoft Office 2007 Compatibility Information").
- Your application must comply with any page limitation requirements described in this Program Announcement.
- After you electronically submit your application, you will receive an automatic acknowledgement from <a href="http://www.grants.gov">http://www.grants.gov</a> that contains

<u>http://www.grants.gov</u>tracking number. The Administration for Community Living will retrieve your application form from <a href="http://www.grants.gov">http://www.grants.gov</a>.

- After the Administration on Aging retrieves your application form from <a href="http://www.grants.gov">http://www.grants.gov</a>, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by <a href="http://www.grants.gov">http://www.grants.gov</a>.
- Each year organizations registered to apply for Federal grants through <a href="http://www.grants.gov">http://www.grants.gov</a> will need to renew their registration with the System for Awards Management (SAM). You can register with the SAM online and it will take about 30 minutes (http://www.sam.gov).

# Contact person regarding this Program Announcement:

Greg Link

U.S. Department of Health and Human Services

Administration for Community Living

U.S. Administration on Aging

One Massachusetts Avenue, NW

Room 5610

Washington, DC 20001

Phone: (202) 357-3545 Fax: (202) 357-3549

Email: greg.link@acl.hhs.gov

# IV.2. Content and Form of Application Submission

# Partner Standard Format and PO-Specific Format Requirements For All Applications:

#### **Authorized Organizational Representative (AOR)**

The individual(s), named by the applicant/recipient organization, who is authorized to act for the applicant/recipient and to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to grant applications or awards.

Each applicant must designate an Authorized Organizational Representative (AOR). An AOR is named by the applicant, and is authorized to act for the applicant, to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to the grant application or awards.

AOR Authorization is part of the registration process at <a href="www.Grants.gov">www.Grants.gov</a> where the AOR will create a short profile and obtain a username and password from the Grants.gov Credential Provider. AORs will only be authorized for the DUNS number registered in the System for Award Management (SAM).

#### **Point of Contact**

In addition to the AOR, a point of contact on matters involving the application must also be identified. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR. The point of contact must be available to answer any questions pertaining to the application.

#### **Application Checklist**

Applicants may refer to *Section VIII. Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3*. *Submission Dates and Times* of this announcement.

Follow the instructions provided in the formatting section to ensure that your application can be printed efficiently and consistently for the competitive review.

#### Observe page limitations.

All applicants must follow the instructions provided in this section. Be sure to print all attachments (components) on paper and count the number of pages before submission. Keep the printed copy as a hard copy of your application for your files.

### **ELECTRONIC APPLICATIONS SUBMITTED VIA www.Grants.gov:**

Electronic applications will only be accepted via <a href="www.Grants.gov">www.Grants.gov</a>. The Agency will not accept applications submitted via email or via facsimile. Only applications, which pass the Grants.gov validation check, will be acknowledged.

**Please read this section carefully before beginning application submission.** It is mandatory to follow the instructions provided in this section to ensure that your application can be printed efficiently and consistently for review.

#### **Copies Required**

Applicants must submit one complete copy of the application package electronically. Applicants submitting electronic applications need not provide additional copies of their application package.

**NOTE:** Applications submitted via <a href="www.Grants.gov">www.Grants.gov</a> will undergo a validation check. See Section IV.2. Application Submission Options and Section IV.3. Submission Due Dates and Times, Explanation of Due Dates. The validation check can affect whether the application is accepted for review. Applications that fail the <a href="www.Grants.gov">www.Grants.gov</a> validation check will not be transmitted to the Agency. If the application fails the validation check and is not resubmitted by 11:59 p.m., ET, on the due date, it will be disqualified.

#### **Signatures**

Follow the AOR Authorization and E-Biz POC instructions provided at www.Grants.gov.

# Required OMB-Approved and Standard Forms (SFs)

www.Grants.gov provides its own protocols for the submission of OMB-approved and

Standard Forms (SFs) such as the SF-424 application and budget forms and the SF-P/PSL, Project/Performance Site Location form. See *Section IV.2. Required Forms, Assurances, and Certifications* for required OMB-approved Standard Forms and required assurances and certifications.

# **Application Package Components**

Applications must be divided into the sections listed in the table. It is important that each component is submitted in a separate electronic file. Page limitations apply to the Project Description document and the Appendices and the following:

- The Project Summary/Abstract is limited to one single-spaced page.
- The Project Narrative is limited to 20 double spaced pages.

| Application Package<br>Components                             | Page Limitations   |
|---|--|
| Required Standard<br>Forms (SFs) and/or<br>OMB-approved Forms | No page limitations.   |
| Required Certifications and Assurances                        | No page limitations.   |
| Project<br>Summary/Abstract                                   | One page limitation, single-spaced, font size of no less than 11 point   |
| Project Narrative   | 20 pages in double-spaced format with a font size of no less than 11-point and included items are listed later in this section. The 20 page project description <i>does not</i> include the project abstract/summary, table of contents, appendix, budget and budget narrative, or the standard forms. |
| Budget Justification  | Submit a 3-5 year budget in accordance with program announcement requirements with line-item detail with justification narrative. The Budget Justification should be no longer than 10 pages.  |
| Proof of Legal<br>Status/Proof of<br>Non-Profit Status        | No page limitations.   |

The required content of the Project Narrative and any Appendices, and their page limits, are listed later in this section.

With the exception of the required Standard Forms (SFs), all application materials must be formatted so that they will print out onto 8 ½" x 11" white paper with 1-inch margins. All pages of the application component, i.e., Project Narrative, Budget Justification,

**Appendices, must be sequentially numbered.** Applicants should print all attachments on paper and count the number of pages before submitting the application. Applicants should keep a hard copy of the submitted application package for their files.

All elements of the application submission, with the exception of the one-page Project Summary/Abstract, the Budget Justification, required Assurances and Certifications, and proof of legal status/non-profit status, must be in double-spaced format in 11-point font. The Project Summary/Abstract is required to be one single-spaced page in a font size not less than 11-point. The Budget Justification may be single-spaced, in a font size not less than 11-point and should be no more than 10 pages.

# Applicants must follow the instructions provided in this section:

### Carefully observe the file naming conventions required by www.Grants.gov.

Limit file names to 50 characters and do not use special characters (example: &,-,\*,%,/,#) including periods (.), blank spaces, and accent marks, within application form fields, and file attachment names. An underscore (\_) may be used to separate a file name.

# Use only file formats supported by the Agency.

It is critical that applicants only submit application components using the supported file formats listed here. Documents in file formats that are not supported by the Agency will be removed from the application and will not be used in the competitive review. This may make the application incomplete and the Agency will not make any awards based on an incomplete application.

### The Agency supports the following file formats:

- Adobe PDF Portable Document Format (.pdf)
- Microsoft Word (.doc or .docx)
- Microsoft Excel (.xls or .xlsx)
- Microsoft PowerPoint (.ppt)
- Image Formats (.JPG, .GIF, .TIFF, or .BMP only)

# Do not encrypt or password protect the electronic application files!

If the Agency cannot access submitted electronic files because they have been encrypted or are password protected, the affected file will be removed from the application and will not be used in the competitive review. This may make the application incomplete and the Agency will not make any awards based on an incomplete application.

# **Application Responsiveness Criteria**

The successful applicant will be an organization that meets the following criteria:

- 1. Demonstrated expertise in working on LGBT issues either with organizations or individuals or both;
- 2. Documented experience in providing training and technical assistance on a national basis or has formal relationship with an organization that has this experience.

# Required Forms, Assurances, and Certifications

Applicants seeking grant or cooperative agreement awards under this announcement must submit the listed Standard Forms (SFs), assurances, and certifications with the application. All required Standard Forms, assurances, and certifications are available at

Grants.gov Forms Repository unless specified otherwise.

| Forms / Assurances /<br>Certifications  | Submission<br>Requirement   | Notes / Description  |
|---|---|--|
| SF-424 - Application for Federal Assistance   | Submission is required for all applicants by the application due date.  | Required for all applications.   |
| SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs | Submission is required for all applicants when applying for a non-construction project. Standard Forms must be used. Forms must be submitted by the application due date. | Required for all applications when applying for a non-construction project. By signing and submitting the SF-424B, applicants are making the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination.  |
| SF-424 Key Contact<br>Form  | Submission is required for all applicants by the application due date.  | Required for all applications.   |
| Work Plan   | Submission required for all applicants  | The Project Work Plan should reflect and be consistent with the Project Narrative and Budget and should cover all years of the project period. It should include a statement of the project's overall goal, anticipated outcome(s), key objectives, and major tasks/action steps that will be pursued to achieve the proposed goals and outcomes. For each major task/action step, the work plan should identify timeframes involved (including start- and end-dates), and the lead person responsible for |

|  |  | completing the task.  |
|--|--|---|
| SF-LLL - Disclosure of Lobbying Activities | If applicable, submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.                        | If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award. |
| Maintenance of Effort (MOE) Certification  | Submission required for all applicants.  | Required for all applications.  |
| Certification Regarding Lobbying           | Submission required of all applicants with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant. | Submission of this Certification is required for all applications.  |

# **Additional Assurances and Certifications**

# IV.2. Project Narrative

# **Letter of Intent**

Applicants are strongly encouraged to notify ACL of their intention to submit an application under this announcement. Please submit the letter of intent by the deadline date listed in *Section IV.3* Submission Dates and Times.

The letter of intent should include the following information: number and title of this announcement; the name and address of the applicant organization; and/or Fiscal Agent (if

known); and the name, phone number, fax number and email address of a contact person.

Letter of intent information will be used to determine the number of expert reviewers needed to evaluate applications. **The letter of intent is optional.** Failure to submit a letter of intent **will not** impact eligibility to submit an application and **will not** disqualify an application from competitive review.

#### **Project Narrative**

The Project Narrative must be double-spaced, on 8 ½" x 11" paper with 1" margins on both sides, and a font size of not less than 11. You can use smaller font sizes to fill in the Standard Forms and Sample Formats. The suggested length for the Project Narrative is ten to twenty pages; twenty pages is the maximum length allowed. ACL will not accept applications with a Project Narrative that exceeds 20 pages. The Project Work Plan, Letters of Commitment, and Vitae of Key Personnel are not counted as part of the Project Narrative for purposes of the 20-page limit, but all of the other sections noted below are included in the limit.

The components of the Project Narrative counted as part of the 20 page limit include:

Summary/Abstract

**Problem Statement** 

Goal(s) and Objective(s)

**Proposed Intervention** 

**Special Target Populations and Organizations** 

Outcomes

**Project Management** 

**Evaluation** 

Dissemination

**Organizational Capability** 

The Project Narrative is the most important part of the application, since it will be used as the primary basis to determine whether or not your project meets the minimum requirements for grants issued by the Administration for Community Living. The Project Narrative should provide a clear and concise description of your project.

ACL/AoA recommends that your project narrative incldue the following components in the order provided below:

#### Summary/Abstract

This section should include a brief - no more than 265 words maximum - description of the proposed project, including: goal(s), objectives, outcomes, and products to be developed. (Please reference the summary/abstract template included under the Downloads for this Funding Opportunity Announcement as posted

at http://www.acl.gov/Funding Opportunities/Announcements/Index.aspx).

#### **Problem Statement**

This section should describe, in both quantitative and qualitative terms, the nature and scope of the particular problem or issue the proposed intervention is designed to address, including how the project will potentially affect the elderly population and/or their caregivers (including specific subgroups within those populations), and possibly the health care and social services systems (e.g., the use of health care and/or nursing home services.)

# Goal(s) and Objective(s)

This section should consist of a description of the project's goal(s) and major objectives. Unless the project involves multiple, complex interventions, we recommend you have only one overall goal.

#### **Proposed Intervention**

This section should provide a clear and concise description of the intervention you are proposing to use to address the problem described in the "Problem Statement". You should also describe the rationale for using the particular intervention, including factors such as: "lessons learned" for similar projects previously tested in your community, or in other areas of the country; factors in the larger environment that have created the "right conditions" for the intervention (e.g., existing social, economic or political factors that you'll be able to take advantage of, etc.). Also note any major barriers you anticipate encountering, and how your project will be able to overcome those barriers. Be sure to describe the role and makeup of any strategic partnerships you plan to involve in implementing the intervention, including other organizations, supporters, and/or consumer groups.

#### **Special Target Populations and Organizations**

This section should describe how you plan to involve community-based organizations in a meaningful way in the planning and implementation of the proposal project. This section should also describe how the proposed intervention will target disadvantaged populations, including limited-English speaking populations.

#### Outcomes

This section of the project narrative must clearly identify the measurable outcome(s) that will result from the project. (NOTE: ACL will not fund any project that does not include measurable outcomes). This section should also describe how the project's findings might benefit the field at large, (e.g., how the findings could help other organizations throughout the nation to address the same or similar problems.) List measurable outcomes in the work plan grid under "Measurable Outcomes" in addition to any discussion included in the narrative along with a description of how the project might benefit the field at large (Please reference the optional Sample Work Plan Template included under the Downloads for this Funding Opportunity Announcement as posted

# at <a href="http://www.acl.gov/Funding">http://www.acl.gov/Funding</a> Opportunities/Announcements/Index.aspx)

A "measurable outcome" is an observable end-result that describes how a particular intervention benefits consumers. It demonstrates the functional status, mental well-being, knowledge, skill, attitude, awareness or behavior.) It can also describe a change in the degree to which consumers exercise choice over the types of services they receive, or whether they are satisfied with the way a service is delivered. Additional examples include: a change in the responsiveness or cost-effectiveness of a service delivery system; a new model of support or care that can be replicated in the aging network; new knowledge that can contribute to the field of aging; a measurable increase in community awareness; or a measurable increase in persons receiving services. A measurable outcome is not a measurable "output", such as: the number of clients served; the number of training sessions held; or the number of service units provided.

You should keep the focus of this section on describing what outcome(s) will be produced by the project. You should use the Evaluation section noted below to describe how the outcome(s) will be measured and reported.

Your application will be scored on the clarity and nature of your proposed outcomes, not on the number of outcomes cited. It is totally appropriate for a project to have only ONE outcome that it is trying to achieve through the intervention reflected in the project's design.

# **Project Management**

This section should include a clear delineation of the roles and responsibilities of project staff, consultants and partner organizations, and how they will contribute to achieving the project's objectives and outcomes. It should specify who would have day-to-day responsibility for key tasks such as: leadership of project; monitoring the project's on-going progress, preparation of reports; communications with other partners and ACL. It should also describe the approach that will be used to monitor and track progress on the project's tasks and objectives.

#### **Evaluation**

This section should describe the method(s), techniques and tools that will be used to: 1) determine whether or not the proposed intervention achieved its anticipated outcome(s), and 2) document the "lessons learned" – both positive and negative - from the project that will be useful to people interested in replicating the intervention, if it proves successful.

#### Dissemination

This section should describe the method that will be used to disseminate the project's results and findings in a timely manner and in easily understandable formats, to parties who might be interested in using the results of the project to inform practice, service delivery, program development, and/or policy-making, including and especially those parties who would be interested in replicating the project.

# **Organization Capability**

Each application should include an organizational capability statement and vitae for key project personnel. The organizational capability statement should describe how the applicant agency (or the particular division of a larger agency which will have responsibility for this project) is organized, the nature and scope of its work and/or the capabilities it possesses. It should also include the organization's capability to sustain some or all project activities after Federal financial assistance has ended.

This description should cover capabilities of the applicant agency not included in the program narrative, such as any current or previous relevant experience and/or the record of the project team in preparing cogent and useful reports, publications, and other products. If appropriate, include an organization chart showing the relationship of the project to the current organization. Please attach short vitae for key project staff only. Neither vitas nor an organizational chart will count towards the narrative page limit. Also include information about any contractual organization(s) that will have a significant role(s) in implementing project and achieving project goals.

# **Budget Narrative/Justification**

Applicants requesting funding for a multi-year grant program are REQUIRED to provide a detailed Budget Narrative/Justification for EACH potential year of grant funding requested.

### Electronic Submission via www.Grants.gov

- Additional guidance on the submission of electronic applications can be found at <a href="http://www.grants.gov/applicants/get-registered.jsp">http://www.grants.gov/applicants/get-registered.jsp</a>.
- If applicants encounter any technical difficulties in using <a href="www.Grants.gov">www.Grants.gov</a>, contact the Grants.gov Contact Center at: 1-800-518-4726, or by email at <a href="support@grants.gov">support@grants.gov</a>, to report the problem and obtain assistance. Hours of Operation: 24 hours a day, 7 days a week. The Grants.gov Contact Center is closed on federal holidays.
- Applicants should always retain Grants.gov Contact Center service ticket number(s) as they may be needed for future reference.
- Contact with the Grants.gov Contact Center prior to the listed application due date and time does not ensure acceptance of an application. If difficulties are encountered, the Grants Management Officer listed in Section VII. Agency Contacts will determine whether the submission issues are due to Grants.gov system errors or user error.

#### IV.3. Submission Dates and Times

**Due Date for Letter of Intent** 

#### **Due Date for Letter of Intent**

Applicants are requested, but not required, to submit a letter of intent to apply for this funding opportunity to assist ACL in planning for the application independent review process. The purpose of the letter of intent is to allow our staff to estimate the number of independent reviewers needed and to avoid potential conflicts of interest in the review. Letters of intent should be sent to the contact person for this announcement (See Section IV.1)

Due Date for Letter of Intent: 04/03/2013 Due Date for Applications: 04/29/2013

# **Explanation of Due Dates**

The due date for receipt of applications is listed in the *Overview* section and in this section. See *Section III.3. Application Disqualification Factors*.

# **Electronic Applications**

The deadline for submission of electronic applications via <a href="www.Grants.gov">www.Grants.gov</a> is 11:59 p.m., ET, on the due date. Electronic applications submitted at 12:00 a.m., ET, on the day after the due date will be considered late and will be disqualified from competitive review and from funding under this announcement.

Applications that fail to meet the application due date will not be reviewed and will receive no further consideration. You are strongly encouraged to submit your application a minimum of 3-5 days prior to the application closing date. Do not wait until the last day in the event you encounter technical difficulties, either on your end or, with <a href="http://www.grants.gov">http://www.grants.gov</a>. Grants.gov can take up to 48 hours to notify you of a successful submission.

Applicants are required to submit their applications electronically via <a href="www.Grants.gov">www.Grants.gov</a> unless they received an exemption through the process described in Section IV.2. Request an Exemption from Required Electronic Application Submission.

The agency does not accommodate transmission of applications by email or facsimile.

Instructions for electronic submission via <a href="www.Grants.gov">www.Grants.gov</a> are available at <a href="http://www.grants.gov/applicants/apply">http://www.grants.gov/applicants/apply</a> for grants.jsp.

#### Please note:

Applications submitted to <a href="www.Grants.gov">www.Grants.gov</a> at any time during the open application period, and prior to the due date and time that fail the Grants.gov validation check will not be received at ACL. **These applications will not be acknowledged.** Applications that fail the Grants.gov validation check will not be transmitted to ACL though they may have been submitted on time.

Each time an application is submitted via <u>www.Grants.gov</u>, the application will receive a new date and time-stamp. Only those applications with date and time-stamps that result in a

validated application, which is transmitted to ACL, will be acknowledged.

# Extensions and/or Waiving Due Date and Receipt Time Requirements

ACL may extend an application due date and receipt time when circumstances make it impossible for applicants to submit their applications on time. These events include natural disasters (floods, hurricanes, tornados, etc.), or when there are widespread disruptions of electrical service, or mail service, or in other rare cases. The determination to extend or waive due date and/or receipt time requirements rests with the Grants Management Officer listed as the Office of Grants Management Contact in Section VII. Agency Contacts.

# Acknowledgement from www.Grants.gov of an electronic application's submission:

Applicants will receive an initial email upon submission of their application to <a href="www.Grants.gov">www.Grants.gov</a>. This email will provide a **Grants.gov Tracking Number**. Applicants should refer to this tracking number in all communication with Grants.gov. The email will also provide a **date and time stamp**, which serves as the official record of the application's submission. The date and time-stamp must reflect a submission time on, or before, 11:59 p.m., ET, on the application due date. Receipt of this email does not indicate that the application is accepted or that is has passed the validation check.

Each time an application is submitted, or resubmitted, via <u>www.Grants.gov</u>, the application will receive a new date and time-stamp. Only those applications with on-time date and time-stamps that result in a validated application, which is transmitted to ACL, will be acknowledged.

Applicants will be provided with an acknowledgement from <a href="www.Grants.gov">www.Grants.gov</a> that the submitted application package has passed, or failed, a series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACL and will not be acknowledged.

See "What to Expect After Submitting" at www.Grants.gov for more information.

# IV.4. Intergovernmental Review of Federal Programs

This program is not subject to Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs," or 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." No action is required of applicants under this announcement with regard to E.O. 12372.

### **IV.5. Funding Restrictions**

Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are considered unallowable costs under grants or cooperative agreements awarded under this funding opportunity announcement.

Grant awards may not allow reimbursement of pre-award costs.

Construction is not an allowable activity or expenditure under this grant award.

Purchase of real property is not an allowable activity or expenditure under this grant award.

# IV.6. Other Submission Requirements

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ACL/AOA

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#### **Electronic Submission**

See *Section IV.2* for application requirements and for guidance when submitting applications electronically via <a href="http://www.Grants.gov">http://www.Grants.gov</a>.

For all submissions, see Section IV.3 for information on due dates and times.

#### V. Application Review Information

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in this section. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed. Applicants should address these criteria in their application materials, particularly in the project description and budget justification, as they are the basis upon which competing applications will be judged during the objective review. The required elements of the project description and budget justification may be found in *Section IV.2* of this announcement.

| Project Relavence and Current Need | <b>Maximum Points:</b> |
|------------------------------------|------------------------|
|                                    | 20                     |

# Purpose and Need (10 Points)

- 1. Does the proposed project clearly and adequately respond to the primary objectives, as described in this FOA, in relation to current needs?
- 2. Does the applicant clearly describe the need for a National Resource Center for LGBT Aging?
- 3. Does the application adequately and appropriately describe and document the key problem(s)/condition(s) relevant to the applicant's purpose/need?

# Problem to be Addressed (10 Points)

- 1. Does the applicant include a description of the problems/needs this proposal is attended to address?
- 2. Does the applicant provide appropriate information with respect to the current status of the field and the degree to which proposed activities help to advance it?
- 3. Is the proposed project justified in terms of the most recent, relevant, and available information and knowledge?

| Approach | Maximum Points: |
|----------|-----------------|
|          | 30              |

# Goals and Objectives (5 Points)

- 1. Is the intervention clearly defined?
- 2. Does the intervention reflect a coherent and feasible approach for successfully addressing the identified problem and achieving the identified outcome(s)?
- 3. Does the project take into account barriers and opportunities that exist in the larger environment that may impact on the project's success?
- 4. Does the intervention optimize the use of partnerships with other organizations and/or consumer groups, as appropriate?

# Work Plan/Management Plan (10 Points)

- 1. Is the project work plan clear and comprehensive?
- 2. Does it include sensible and feasible timeframes for the accomplishment of tasks presented?
- 3. Does the work plan include specific objectives and tasks that are linked to measurable outcomes?
- 4. Does the proposal include a clear and coherent management plan?
- 5. Are the roles and responsibilities of project staff, consultants and partners clearly defined and linked to specific objectives and tasks?
- 6. Are the qualifications of the project staff, consultants and/or partners, and the proposed level of effort, adequate to carry out the project?

#### Methods of Addressing the Problem (10 Points)

- 1. Has the applicant described a coherent approach to implementing a National Resource Center capable of addressing the needs described?
- 2. Does the applicant describe approaches for expanding the scope and reach of the NRC to the key issue areas outlined in this FOA?

- 3. Does the proposed approach adequately address the three key focus areas (education of mainstream aging organizations; education of LGBT organizations; and education of LGBT individuals) outlined in the Program Announcement?
- 4. Based on the information provided by the applicant, is it likely the proposed approach will achieve specified goals?
- 5. Does the applicant describe how the desired approaches and concepts desired for training and technical assistance delivery will be achieved?

# Collaboration and Partnerships (5 Points)

- 1. Does the application describe how key stakeholders will be involved in a meaningful way in the planning, implementation and execution of the proposed project?
- 2. Is the range of collaborators and project partners sufficient to meet the objectives of the project?

Budget Maximum Points: 10

# Adequacy, Scope and Applicability

- 1. Is the budget justified with respect to the adequacy and reasonableness of resources requested?
- 2. Has a multiyear budget covering the entire proposed project period been included as well as a budget covering each individual year?
- 3. Are the resources requested consistent with the scope of proposed project activities?
- 4. Is the time commitment of the proposed director and other key project personnel sufficient to assure proper direction, management and timely completion of the project?
- 5. Does the budget as proposed reflect the maximization and efficient use of available resources?

Project Impact Maximum Points: 20

#### Project Outcomes (3 Points)

- 1. Are the expected project benefits/results clear, realistic, and consistent with the objectives and purpose of the project?
- 2. Are the anticipated outcomes of the proposed project likely to be achieved and will they significantly benefit the target audiences?
- 3. Are the proposed outcomes quantifiable and measurable, consistent with the definition of a project outcome contained in Attachment E of the Program Announcement?

#### Project Evaluation (3 Points)

1. Does the project evaluation reflect a thoughtful and well-designed approach that will be able to successfully measure whether or not the project has achieved its

- proposed outcome(s)?
- 2. Does the plan include the qualitative and/or quantitative methods necessary to reliably measure outcomes?
- 3. Is the evaluation also designed to capture "lessons learned" from the overall effort that might be of use to others in the field of aging, especially those who might be interested in replicating the project?

# Sustainability (12 Points)

- 1. Is there a plan to use, where possible, other funding sources to expand and enhance the proposed activities?
- 2. Is there a realistic plan to try to secure resources to continue some or all project activities after Federal financial assistance has ended?

# Dissemination (2 Points)

- 1. Does the dissemination plan ensure timely delivery of TA activities, products and resources to designated audiences?
- 2. Does the dissemination plan include multiple modalities for delivering Technical Assistance, information and other resources, including electronic, print and web-based methods?

# **Organizational Capacity**

**Maximum Points:** 

20

# Project Staffing and Qualifications (10 Points)

- 1. Does the applicant organization clearly identify their capacity for carrying out the proposed project and evaluation?
- 2. Do the proposed project director(s), key staff and consultants have the background, experience, and other qualifications required to carry out their designated roles?
- 3. Are letters from participating organizations included, as appropriate, and do they express the clear commitment and areas of responsibility of those organizations, consistent with the work plan description of their intended roles and contributions?

# Organization and Management (10 Points)

- 1. Does the applicant demonstrate an understanding of LGBT and aging issues?
- 2. Does the applicant demonstrate the capacity to provide TA on the desired range of topics on a national scale?
- 3. Does the applicant demonstrate the commitment to lead the project?
- 4. Does the application adequately describe the applicant's ability and plan to partner with other entities as necessary to achieve the goals of the project?
- 5. Does the applicant demonstrate knowledge and expertise in working at the federal level on the issues to be addressed by this FOA?

#### V.2. Review and Selection Process

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant or sub-recipient that does not have active registration at www.sam.gov.

#### **Initial Screening**

Each application will be screened to determine whether it meets one of the following disqualification criteria as described in *Section III.3*. *Application Disqualification Factors*:

- Applications that are designated as late according to *Section IV.3. Submission Dates* and *Times*.
- The Project Narrative section of the Application must be **double-spaced**, on 8 ½" x 11" plain white paper with **1" margins** on both sides, and a **font size of not less than** 11
- The Project Narrative must not exceed 20 pages. NOTE: The Project Work Plan, Letters of Commitment, and Vitae of Key Project Personnel are not counted as part of the Project Narrative for purposes of the 20-page limit.

For those applications that have been disqualified under the initial screening, notice will be provided by postal mail or by email. See *Section IV.3. Explanation of Due Dates* for information on Grants.gov's and the Agency's acknowledgment of received applications.

#### **Objective Review and Results**

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in *Section V.1. Criteria* of this announcement. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by the Agency in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

The Agency may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. The Agency reserves the right to consider preferences to fund organizations serving emerging, unserved, or under-served populations, including those populations located in pockets of poverty. The Agency will also consider the geographic distribution of Federal funds in its award decisions.

Final award decisions will be made by the Administrator for the Administration of Community Living, or designee. In making these decisions, the Administrator will take into consideration: recommendations of the review panel; reviews for programmatic and grants management compliance; the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and the likelihood that the proposed project will result in the benefits expected.

ACL may refuse funding for projects with what it regards as unreasonably high start-up costs for facilities or equipment, or for projects with unreasonably high operating costs.

# **Approved but Unfunded Applications**

Applications recommended for approval that were not funded under the competition because of the lack of available funds may be held over by the Agency and reconsidered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be re-competed for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

# V.3. Anticipated Announcement and Award Dates

Announcement of awards and the disposition of applications will be provided to applicants at a later date.

#### VI. Award Administration Information

#### VI.1. Award Notices

Successful applicants will be notified through the issuance of a Notice of Award (NoA) that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The NoA will be signed by the Grants Officer and transmitted via postal mail, email, or current Grants Management System. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter signed by the cognizant Program Office. Any other correspondence that announces to a Principal Investigator, or a Project Director, that an application was selected is not an authorization to begin performance.

Project costs that are incurred prior to the receipt of the NoA are at the recipient's risk and may be reimbursed only to the extent that they are considered allowable as approved pre-award costs. Information on allowable pre-award costs and the time period under which they may be incurred is available in *Section IV.5. Funding Restrictions*.

#### VI.2. Administrative and National Policy Requirements

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 CFR. Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or 45 CFR. Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments). The Code of Federal Regulations (CFR) is available at <a href="http://www.gpo.gov">http://www.gpo.gov</a>.

An application funded with the release of Federal funds through a grant award does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

The award is also subject to DHHS Administrative Requirements, which can be found in 45CFR Part 74 and 92 and the Standard Terms and Conditions, included in the Notice of Award as well as implemented through the HHS Grants Policy Statement located at <a href="http://www.hhs.gov/grantsnet/adminis/gpd/index.htm">http://www.hhs.gov/grantsnet/adminis/gpd/index.htm</a>.

### VI.3. Reporting

Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. The frequency of required reporting is listed later in this section. Final reports may be submitted in hard copy to the Grants Management Office Contact listed in *Section VII. Agency Contacts* of this announcement. Instructions on submission of reports electronically will be provided with award documents.

# **Performance Progress Reports (PPR)**

Notice of Award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their Notice of Award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period.

#### Federal Financial Reports (FFR)

As of March 1, 2011, HHS began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Agency will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to select--from a limited number of options--the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so.

All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV

may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for <u>all</u> budget periods thereafter, all affected Agency grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

# For budget periods ending in the months of: The FFR (SF-425) is due on:

January 01 through March 31 April 30
April 01 through June 30 July 30
July 01 through September 30 October 30
October 01 through December 31 January 30

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at <a href="http://www.whitehouse.gov/omb/grants\_forms">http://www.whitehouse.gov/omb/grants\_forms</a> and <a href="www.forms.gov">www.forms.gov</a>. Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis.

For planning purposes, the Agency reporting periods for awards made under this announcement are as follows:

Program Progress Reports: Semi-Annually Financial Reports: Semi-Annually

# **FFATA and FSRS Reporting**

The Federal Financial Accountability and Transparency Act (FFATA) requires data entry at the FFATA Subaward Reporting System (<a href="http://www.FSRS.gov">http://www.FSRS.gov</a>) for all sub-awards and sub-contracts issued for \$25,000 or more as well as addressing executive compensation for both grantee and sub-award organizations.

For further guidance please see the following link:

http://www.aoa.gov/AoARoot/Grants/Reporting Requirements/index.aspx

# VII. Agency Contacts

### **Program Office Contact**

Greg Link Administration for Community Living U.S. Administration on Aging Washington, DC 20001 Phone: (202) 357-3545 Fax: (202) 357-3549

Email: Greg.Link@acl.hhs.gov

#### **Office of Grants Management Contact**

LaDeva Harris Administration for Community Living Washington, DC 20001

Phone: (202) 357-3437

Email: <u>ladeva.harris@acl.hhs.gov</u>

# **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (**TTY** - Text Telephone or **ASCII** - American Standard Code For Information Interchange).

#### VIII. Other Information

#### **Reference Websites**

U.S. Department of Health and Human Services (HHS) on the Internet http://www.hhs.gov/.

Catalog of Federal Domestic Assistance (C.F.D.A.) https://www.cfda.gov/.

Code of Federal Regulations (C.F.R.) http://www.gpo.gov.

United States Code (U.S.C) http://www.gpoaccess.gov/uscode/.

Grants.gov Forms Repository webpage

at http://www.grants.gov/agencies/aforms repository information.jsp.

Versions of other Standard Forms (SFs) are available on the Office of Management and Budget (OMB) Grants Management Forms web site at <a href="http://www.whitehouse.gov/omb/grants">http://www.whitehouse.gov/omb/grants</a> forms/.

For information regarding accessibility issues, visit the Grants.gov Accessibility Compliance Page at http://www07.grants.gov/aboutgrants/accessibility compliance.jsp.

An open information teleconference for interested applicants will be held as follows:

• **Date**: April 1, 2013 • **Time**: 2:000 PM Eastern

• **Telephone number**: 1-888-455-9765

• Pass Code: LGBT Aging

A printed transcript of the teleconference, including the Q&A, will be available at the Funding Opportunities section of ACL's web site at the following link: http://www.acl.gov/Funding Opportunities/Announcements/Index.aspx

# **Application Checklist**

| What to Submit  | Where Found  | When to Submit   |
|---|--|--|
| SF-424 - Application for Federal Assistance   | Referenced in Section  IV.2. Required Forms, Assurances, and Certifications. Found at the Grants.gov Forms Repository at <a href="http://www.grants.gov/agencies/aforms repository information.jsp">http://www.grants.gov/agencies/aforms repository information.jsp</a> . | Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times. |
| SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs | Referenced in Section  IV.2. Required Forms, Assurances, and Certifications.   | Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times. |
| SF-424 Key Contact<br>Form  | Referenced in Section IV.2. Required Forms, Assurances, and Certifications. Found at the Grants.gov Forms Repository at http://www.grants.gov/agencies/ aforms_repository_information.jsp.   | Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times. |

| Certification Regarding Lobbying              | Referenced in Section IV.2. Required Forms, Assurances, and Certifications.   | Submission is due with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant. |
|---|---|--|
| SF-LLL - Disclosure of<br>Lobbying Activities | "Disclosure Form to Report Lobbying" is referenced in <i>Section IV.2. Required Forms, Assurances, and Certifications.</i> If applicable, submission of this form is required if any funds have been paid, or will be paid, to any person for influencing, or attempting to influence, an officer or employee of any agency, a Member of Congress, an officer or employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan. | If applicable, submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.    |
| Maintenance of Effort (MOE) Certification     | Referenced in Section IV.2. Forms, Assurances, and Certifications.  Submission is due with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant.  | Submission is due by the application due date listed in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times.                          |
| Work Plan                                     |   |  |
| Letter of Intent                              | Referenced in Section IV.2. Project Narrative.  | Submission is due by the Letter of Intent due date found in the <i>Overview</i> and in <i>Section IV.3</i> .   |

| The Project Description                     | Referenced in <i>Section IV.2. The Project Description</i> . This is the title for the project narrative that describes the applicant's plan for the project. | Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times.  |
|---|---|---|
| The Project Budget and Budget Justification | Referenced in Section IV.2. The Project Budget and Budget Justification of the announcement.  | Submission of the Project Budget is required on the appropriate Standard Form (424A or 424C). The Budget Justification is a separate document that may be no more than 10 pages and is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3.</i> Submission Dates and Times. |
| Commitment of<br>Non-Federal Resources      | Referenced in Section IV.2. The Project Budget and Budget Justification.  | Submission is due by the application due date found in the Overview and in Section IV.3. Submission Dates and Times.  |

# Appendices